

AUDIT COMMITTEE – 11TH JUNE 2019

Report of the Head of Strategic Support

WORK PROGRAMME

Purpose of Report

This report is submitted to enable the Committee to consider its Work Programme.

Action Requested

Following consideration of the Work Programme, the Committee is asked to consider any deletions, additions or amendments it wishes to make.

This will enable planning for future meetings to be undertaken, for reports and information to be prepared and for the attendance of officers and/or others to be arranged.

Background

The Work Programme agreed at the last meeting of the Committee is attached as an appendix for the consideration of the Committee.

Officer to contact: Nadia Ansari
Democratic Services Officer
(01509) 634502
nadia.ansari@charnwood.gov.uk

| ISSUE | MEETING |
|------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| Internal Audit Business | Ongoing |
| 2018/19 Statement of Accounts | 24 th July 2019 (Accounts Meeting) Annually |
| 2018/19 Annual Governance Statement and Review of the Code of Corporate Governance | 24 th July 2019 (Accounts Meeting) Annually |
| Internal Audit Plan – Progress | 24 th September 2019 Quarterly |
| Risk Management (Risk Register) | 24 th September 2019 Quarterly - detailed report every six months, exception report quarters in-between. |
| Council's Use of Regulation of Investigatory Powers Act (RIPA) | 24 th September 2019 Quarterly |
| Annual IT Health Check (Code of Connection) Confidential Report | 24 th September 2019 Annually |
| Treasury Management Mid-Year Review | 19 th November 2019 Annually |
| 2019/20 Treasury Management Statement, Annual Investment Strategy and MRP Strategy | 18 th February 2020 Annually |
| 2020/21 Internal Audit Plan | 18 th February 2020 Annually |
| 2018/19 Annual Internal Audit Report | 9 th June 2020 Annually |
| 2018/19 Review of the effectiveness of Internal Audit (Feedback from Panel) | 9 th June 2020 Annually |
| Internal Audit Charter | 9 th June 2020 Annually (for approval) |
| 2018/19 Members' Allowances Claimed | 9 th June 2020 Annually |
| Whistle Blowing and Anti-fraud, Corruption and Bribery | 9 th June 2020 Annually |
| Environmental Audits – Report on | 9 th June 2020 |

| | |
|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Outcomes | Annually <i>Note: Six month exception report where identified actions are not implemented by the target date.</i> |
| 2018/19 Treasury Management Outturn | 9 th June 2020 Annually |
| Future of Local Public Audit | Report on Government proposals considered 5 th July 2011. Further report once final regulations/guidelines are known. <i>Note:</i> Appointing Your External Auditor briefing note considered June 2016. |
| Policy for Engagement of External Auditors for non-audit work | Considered March 2013. Review policy - date to be agreed |
| External Audit Business | Ongoing |
| 2019/20 Annual Governance Report | 24 th July 2019 (Accounts Meeting) Annually |
| External Audit Progress Report and Technical Update | 24 th September 2019 Quarterly |
| 2018/19 Annual Audit Letter | February 2020 Annually |
| Certification of Claims and Returns (2019/20 Audit) | February 2020 Annually |
| 2020/21 External Audit Plan | February 2020 Annually |